## **Andrew Kennon Jennings**

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# **Academic Appointments**

## Emory University School of Law

Associate Professor of Law

June 2023 – present

Courses Taught: Business Associations; Securities Regulation; Corporate Crime

Service: Clerkship Committee (chair) (2024 – present); Clerkship Task Force (spring 2024); Emory Corporate Governance & Accountability Review (faculty advisor) (2024 – present); Teaching Awards Committee (2023 – 2024)

## **Brooklyn Law School**

Assistant Professor of Law

July 2021 - May 2023

Courses Taught: Corporations; Securities Regulation; Adv. Securities Regulation; Mergers & Acquisitions

Service: Faculty Secretary; Workshops Committee; Center for the Study of Business Law and Regulation

### Stanford University School of Law

Teaching Fellow, Corporate Governance & Practice and Lecturer in Law

June 2019 – June 2021

Courses Taught: Corporate Governance & Practice Seminar I and II

#### **Duke University School of Law**

Scholar in Residence

May 2016 – August 2016

#### Publications and Scholarship

#### **Articles**

Criminal Investors, 93 GEO. WASH. L. REV. \_\_\_ (forthcoming 2025)

Vice Capital, 15 U.C. IRVINE L. REV. \_\_ (forthcoming 2025) (with Kimberly D. Krawiec)

• Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

Criminal Recordkeeping, 102 WASH. U.L. REV. \_\_\_ (forthcoming 2024)

• Featured in CLS BLUE SKY BLOG

Criminal Subsidiaries, 92 FORDHAM L. REV. \_\_\_ (forthcoming 2024)

• Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

The Market for Corporate Criminals, 40 YALE J. ON REGUL. 520 (2023)

• Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

Disclosure Procedure, 82 MD. L. REV. 920 (2023)

• Featured in CLS BLUE SKY BLOG

State Securities Enforcement, 47 BYU L. REV. 67 (2021)

- Excerpted in Donna M. Nagy, Lisa M. Fairfax, and Veronica Root Martinez, Securities Litigation and Enforcement: Cases and Materials (5th ed. 2023)
  - Selected for inclusion in SECURITIES LAW REVIEW (Donald C. Langevoort, ed., 2023)

Follow-Up Enforcement, 70 DUKE L.J. 1569 (2021)

Notice Risk and Registered Agency, 46 J. CORP. L. 75 (2020)

Firm Value and Intracorporate Arbitration, 38 REV. LITIG. 1 (2018)

### **Essays and Shorter Pieces**

101 Lawyers: Attorney Appearances in Twitter v. Musk, 73 DUKE L.J. ONLINE 77 (2023)

• Featured in CLS BLUE SKY BLOG

The Public's Companies, 29 FORDHAM J. CORP. & FIN. L. 191 (2023)

The President as CEO: Executive Privilege and Corporate Law, U. CHI. BUS. L. REV. BLOG (2022) (with Benjamin P. Edwards)

Cited in 26A WRIGHT & MILLER'S FEDERAL PRACTICE AND PROCEDURE § 5673 (2024)

Conscience Leave, 35 NOTRE DAME J.L. ETHICS & PUB. POL'Y 649 (2021) (symposium)

The Supreme Court and the 117th Congress, 11 CALIF. L. REV. ONLINE 408 (2020) (with Athul K. Acharya)

Shareholders United?, 95 NOTRE DAME L. REV. REFLECTION 47 (2019)

A Happiness Approach to Cost-Benefit Analysis, 62 DUKE L.J. 1503 (2013) (with Andrew T. Foglia)

#### Works-in-Progress

Book Project: Corporate Americana: Farmers, Dentists, and the Nation's Community Banks

The Original SEC Whistleblower Program (the Securities Act and the design of whistleblowing statutes)

Truth in Titles: Evidence from FINRA BrokerCheck (job-title signaling and investor protection)

#### **Education**

## Duke University School of Law

J.D., cum laude, 2013

DUKE LAW JOURNAL, Executive Editor, Vol. 62; Staff Editor, Vol. 61

## **Duke University**

M.A., Economics, 2013

## Hampden-Sydney College

B.A., summa cum laude, 2009

Phi Beta Kappa; Interdisciplinary Honors in History and Government

### Presentations/Workshops/Panels

The Original SEC Whistleblower Program

Corporate & Securities Litigation Workshop, University of California, Los Angeles, 2024

Midwestern Law & Economics Association, University of Kansas, 2024

#### Criminal Investors

- Faculty Workshop, George Washington University (2024)
- Emory Law/Georgia Law Workshop, University of Georgia, 2024
- CrimFest, Benjamin N. Cardozo School of Law, 2024

### Vice Capital

- Junior Scholars Conference, University of Michigan, 2024
- Law Faculty Colloquium, Emory University, 2024
- Tel Aviv University Corporate Law Online Workshop, 2024
- Winter Deals Conference, Brigham Young University, 2023
- Vanderbilt Law and Business Workshop, Vanderbilt University, 2023
- National Business Law Scholars Conference, University of Tennessee, 2023

# Criminal Recordkeeping

- CrimFest, Benjamin N. Cardozo School of Law, 2022
- Junior Securities Regulation Scholars Workshop, 2022
- Brooklyn Law School Junior Faculty Workshop, 2022

#### Criminal Subsidiaries

- AALS Business Associations New Voices in Business Law, 2024
- CrimFest, Brooklyn Law School, 2023
- Corporate Law at a Crossroads, University of Wisconsin Law School, 2023
- Corporate & Securities Litigation Workshop, Vanderbilt University, 2023

### The Market for Corporate Criminals

- Regulation & Markets Workshop, Boston College, 2023
- Corporate & Securities Litigation Workshop, University of Illinois, 2022
- National Business Scholars Conference, University of Oklahoma, 2022
- Junior Faculty Forum, University of Richmond, 2022
- Junior Scholars Conference, University of Michigan, 2022
- Junior Securities Regulation Scholars Workshop, 2021
- Brooklyn Law School Faculty Workshop, 2021

# The Public's Companies

- Organization of Social Impact Workshop, UC Berkeley, 2022
- Conference on Empirical Legal Studies, 2022 (online)
- University of Pennsylvania Institute for Law & Economics Business Law Workshop, 2021
- National Business Law Scholars Conference, 2021 (online)

#### Disclosure Procedure

- Annual Meeting of the American Law and Economics Association, Columbia Law School, 2022
- Emerging Voices in Securities Regulation, AALS Annual Conference, 2021 (online)
- Bay Area Corporate Law Scholars Workshop, UC Law San Francisco, 2021
- National Business Law Scholars Conference, 2021 (online)
- ComplianceNet, 2021 (online)
- Moody's Investors Service, 2021 (online)
- Society for Corporate Governance Annual Conference, 2021 (online)

#### Conscience Leave

Ethics of Government Service Symposium, Notre Dame Law School, 2021 (online)

State Securities Enforcement

- Legal Research-in-Progress Workshop, Stanford Law School, 2020
- Corporate & Securities Litigation Workshop, 2020 (online)
- National Business Law Scholars Conference, 2020 (online)
- State & Local Government Works-in-Progress Conference, 2020 (online)

### Follow-Up Enforcement

- Corporate & Securities Litigation Workshop, Boston University, 2019
- Fellows Workshop, Stanford Law School, 2019

# Firm Value and Intracorporate Arbitration

Corporate & Securities Litigation Workshop, University of Richmond, 2018

### Other Presentations

Fourth Annual Summit for Corporate Governance, University of Nevada-Las Vegas School of Law, 2023

• Panelist: 2023 SEC Updates

FTX and the Future of Crypto, Benjamin N. Cardozo School of Law, 2022

• Panelist: White-Collar Crime Aspects of the FTX Collapse

Transatlantic Blockchain Law, CUNY Baruch College Zicklin School of Business, 2022

• Topic: State Crypto Regulation

## Legal Experience

# Sullivan & Cromwell LLP, New York, NY

September 2017 – August 2018

Litigation Associate

#### U.S. Court of Appeals for the Sixth Circuit, Detroit, MI

August 2016 – August 2017

Law Clerk to Hon. Helene N. White

## Cravath, Swaine & Moore LLP, New York, NY

Corporate Associate October 2013 – May 2016
Summer Associate Summer 2012

## Public Engagement/Service

Creator, KFilings.com (free SEC EDGAR filings alert tool)

2024 – present

Expert, California Department of Justice, Board-Diversity Litigation

2021 - 2023

- Alliance for Fair Board Recruitment v. Weber, 2:21-cv-01951 (E.D. Cal.)
- Meland v. Weber, 2:19-cv-02288-JAM-AC (E.D. Cal.)
- Crest v. Padilla, 19STCV27561 (L.A. Cnty. Super. Ct.)
- Crest v. Weber, 20STCV37513 (L.A. Cnty. Super. Ct.)

**Arbitrator**, Financial Industry Regulatory Authority

2019 - present

**Drafter**, Securities Scholars' Letter on Model State Whistleblower Award and Protection Act, 2020

#### **Professional Service**

Area Organizer (Corporate Law & Governance), Conference on Empirical Legal Studies (2024)

2024 - present

#### Creator/Host, BUSINESS SCHOLARSHIP PODCAST

2019 - present

- Interviewer of 252 guests across 227 episodes on business-relevant scholarship with >1,400 average downloads per month from academic, policymaker/regulator, judicial, practitioner, and student listeners
- Organizer and moderator of three annual podcast symposia:
  - Citizens United at 10 (January 2020)
  - Financial and Corporate Regulation in the Biden Administration (January 2021)
  - Local Journalism, Business, and Society (June 2022)

# Media Appearances

KFilings: The Free Edgar Retrieval Site, REALTRANSPARENTDISCLOSURE (Aug. 12, 2024)

Free SEC Filing Tracker Tool: Introducing KFilings, THECORPORATECOUNSEL (July 30, 2024)

For Big Companies, Felony Convictions Are a Mere Footnote, WALL ST. J. (July 30, 2024)

Supreme Court Decision Weakening SEC Could Boost Settlements, State Regulators' Roles, WEALTHMANAGEMENT (July 1, 2024)

Trump on Trial: Why Jury Selection Should Take Longer in Georgia, ATLANTA J.-CONST. (Apr. 22, 2024)

Chattanooga Accountant Jonathan Frost Faces Federal Criminal Investigation, CHATTANOOGA TIMES FREE PRESS (Mar. 17, 2024)

How the Deal that Made Musk the World's Richest Person Could Unravel, WASH. POST (Feb. 1, 2024)

Amid Croft & Frost Accounting Firm Woes, Owner Pitched Extremely Exciting' Hydrogen Plant, Admitted It Was Volatile, Chattanooga Times Free Press (Oct. 28, 2023)

Billions of Dollars in Loans to Board Members Draw Spotlight to Gulf Banks, WALL ST. J. (Sept. 28, 2023)

Who Stays and Goes in Finance When the Company Goes Private, CFO BREW (Feb. 23, 2023)

Bankman-Fried Pleads Not Guilty, Faces Trial in October, BLOOMBERG (Jan. 3, 2023)

FTX Founder Indicted on Eight Criminal Charges Including Fraud and Conspiracy, CNN (Dec. 13, 2022)

Sam Bankman-Fried Said It's 'Not Who I Am' to Shun the Press. But Legal Experts Say His Recent Interviews Could Come Back to Haunt Him, Bus. Insider (Dec. 2, 2022)

States Lead Crypto Enforcement as Feds Deal with Inchoate Role, BLOOMBERG L. (Nov. 28, 2022)

What Options Elon Has Left – with Andrew Jennings, THE PROF G POD WITH SCOTT GALLOWAY (Oct. 20, 2022)

Twitter Says It Wants Trial Against Elon Musk to Proceed, AP (Oct. 6, 2022)

After Banks' \$1.8B Texting Fines, Who Will Feel Heat Next?, LAW360 (Oct. 5, 2022)

Elon Musk's Twitter Climbdown: 'A Big Pill to Swallow', FIN. TIMES (Oct. 5, 2022)

Deal Back On? Elon Musk Gets Closer to Buying Twitter, AP (Oct. 4, 2022)

Musk Says He Will Close \$44B Twitter Deal on Original Terms, LAW360 (Oct. 4, 2022)

Delaware Judge Likely to Demand Certainty Over Deal Closing, Lawyers Say, WALL ST. J. (Oct. 4, 2022)

Twitter CEO and Elon Musk Depositions Did Not Begin Monday, Despite Earlier Filings in Acquisition Dispute, CNN (Sept. 26, 2022)

Elon Musk Stares Into the Void, N.Y. MAG. (Sept. 7, 2022)

Musk-Twitter Feud Fast-Track Timeline Mirrors Other Busted Deals, BLOOMBERG L. (July 20, 2022)

Decoding the Corporate Law Alphabet Soup: M&A and SPACs, GETTING COMMON W/ PROFESSOR CARLISS CHATMAN (July 13, 2022)

Goldman Banker Linked to \$4.5B Global Corruption Scandal Heads to Trial, COURTHOUSE NEWS (Feb. 11, 2022)

Gambling Addiction Experts See Familiar Aspects in Robinhood App, NBC NEWS (Jan. 30, 2021)

A Few of Our Favorite Things: Podcasts You'll Love, INSECURITIES PODCAST (Dec. 30, 2020)

Among Democrats, A Growing Appetite To Alter High Court, LAW360 (Sept. 26, 2020)

How Democrats Could Curb a Conservative SCOTUS Without Court-Packing, REUTERS (SEPT. 23, 2020)

Andrew Jennings on Podcasting, IPSE DIXIT (Aug. 26, 2020)

It's Real and It's Spectacular: A Free Summer Class on 'Seinfeld' and the Law, LAW.COM (May 14, 2020)

Chad Focus Wanted to Be a Rap Mogul. How Much Fraud Would It Take?, VICE (Dec. 20, 2019)

Arrest of U.S. Millionaire Grows into Massive Sex Trafficking Case, ORGANIZED CRIME AND CORRUPTION REPORTING PROJECT (July 10, 2019)

#### Admissions

New York, 2014; North Carolina, 2019; U.S.C.A. Ninth, 2018; U.S.C.A. Sixth, 2017; S.D.N.Y/E.D.N.Y., 2017